

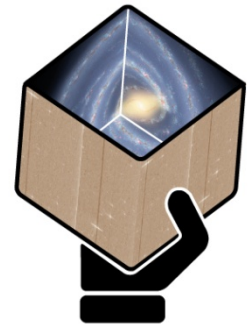
GENIUS

Final Report

for Financial Management



gaia



Xavier Gutiérrez

Fundació Bosch i Gimpera – Universitat de Barcelona



GENIUS

April 2017

GENIUS Budget Overview in Dow

PROJECT ACRONYM: GENIUS "PROJECT FINANCIAL PLAN" - WHOLE DURATION OF PLANNED WORK

BUDGET	1. UB	2. CNRS	TP. P2 UFC	3. UEDIN	4. UL	5. CESCA	6. INAF	7. CSIC	8. UNIGE	9. ULB	10. FFCUL	11. UBR	12. UCAM	13. KU
RTD FUNDING RATE	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%
INDIRECT COSTS MODEL	Flat Rate	Flat Rate	Flat Rate	Simpl Method	Simpl Method	Flat rate	Flat rate	Actual	Flat rate	Flat rate	Flat rate	Flat rate	Flat rate	Flat rate
INDIRECT COST PERCENT.	60%	60%	60%	72%	77%	60%	60%	49%	60%	60%	60%	60%	60%	20%

	1. UB	2. CNRS	TP. P2 UFC	3. UEDIN	4. UL	5. CESCA	6. INAF	7. CSIC	8. UNIGE	9. ULB	10. FFCUL	11. UBR	12. UCAM	13. KU	TOTAL
RTD ACTIVITIES	471.893,20	562.276,80	5.532,80	481.191,00	517.137,00	57.600,00	133.440,00	133.899,00	66.667,20	66.640,00	114.667,20	39.976,00	203.641,60	38.400,00	2.892.961,80
- PERSONNEL COSTS	211.017,75	336.423,00	3.458,00	261.265,00	256.655,00	34.000,00	73.400,00	84.802,00	41.000,00	41.000,00	61.667,00	21.623,00	115.276,00	0,00	1.541.586,75
- TRAVEL & SUBSISTANCE	10.290,50	15.000,00		18.320,00	35.000,00	2.000,00	5.000,00	5.000,00	667,00	650,00	10.000,00	3.362,00	9.000,00	32.000,00	146.289,50
- SUB-CONTRACTING	11.000,00												0,00		11.000,00
- EQUIPMENT	64.750,00						5.000,00						2.333,00		72.083,00
- CONSUMABLES													667,00		667,00
- OTHER SPECIFIC COSTS	2.000,00														2.000,00
- OVERHEAD COSTS	172.834,95	210.853,80	2.074,80	201.606,00	225.482,00	21.600,00	50.040,00	44.097,00	25.000,20	24.990,00	43.000,20	14.991,00	76.365,60	6.400,00	1.119.335,55
OTHER ACTIVITIES	79.347,44	23.379,20	0,00	0,00	0,00	66.600,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	20.400,00	189.726,64
- PERSONNEL COSTS	33.904,71	14.612,00				34.000,00									82.516,71
- TRAVEL & SUBSISTANCE						2.000,00								17.000,00	19.000,00
- SUB-CONTRACTING						9.000,00									9.000,00
- EQUIPMENT															0,00
- CONSUMABLES															0,00
- OTHER SPECIFIC COSTS	15.687,44														15.687,44
- OVERHEAD COSTS	29.755,29	8.767,20	0,00	0,00	0,00	21.600,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	3.400,00	63.522,49
MANAGEMENT	133.049,51	0,00	0,00	0,00	1.500,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	134.549,51
- PERSONNEL COSTS	70.030,94														70.030,94
- TRAVEL & SUBSISTANCE	10.000,00														10.000,00
- SUBCONTRACTING	5.000,01				1.500,00										6.500,01
- CONSUMABLES															0,00
- OTHER SPECIFIC COSTS															0,00
- OVERHEAD COSTS	48.018,56	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	48.018,56
TOTAL COSTS	684.290,15	585.656,00	5.532,80	481.191,00	518.637,00	124.200,00	133.440,00	133.899,00	66.667,20	66.640,00	114.667,20	39.976,00	203.641,60	58.800,00	3.217.237,95

PARTNERS GLOBAL FINANCIAL OVERVIEW

COST CATEGORIES	1. UB	2. CNRS	TP. P2 UFC	3. UEDIN	4. UL	5. CESCA	6. INAF	7. CSIC	8. UNIGE	9. ULB	10. FFCUL	11. UBR	12. UCAM	13. KU	TOTAL
PERSONNEL COSTS	314.953,40	351.035,00	3.458,00	261.265,00	256.655,00	68.000,00	73.400,00	84.802,00	41.000,00	41.000,00	61.667,00	21.623,00	115.276,00	0,00	1.694.134,40
TRAVEL & SUB	20.290,50	15.000,00	0,00	18.320,00	35.000,00	4.000,00	5.000,00	5.000,00	667,00	650,00	10.000,00	3.362,00	9.000,00	49.000,00	175.289,50
SUBCONTRACTING	16.000,01	0,00	0,00	0,00	1.500,00	9.000,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	26.500,01
EQUIPMENT	64.750,00	0,00	0,00	0,00	0,00	0,00	5.000,00	0,00	0,00	0,00	0,00	0,00	2.333,00	0,00	72.083,00
CONSUMABLES	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	667,00	0,00	667,00
OTHER SP.COSTS	17.687,44	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	17.687,44
OVERHEAD COSTS	250.608,80	219.621,00	2.074,80	201.606,00	225.482,00	43.200,00	50.040,00	44.097,00	25.000,20	24.990,00	43.000,20	14.991,00	76.365,60	9.800,00	1.230.876,60
TOTAL COSTS	684.290,15	585.656,00	5.532,80	481.191,00	518.637,00	124.200,00	133.440,00	133.899,00	66.667,20	66.640,00	114.667,20	39.976,00	203.641,60	58.800,00	3.217.237,95
Requested Grant	566.316,85	445.086,80	4.149,60	360.893,25	389.352,75	109.800,00	100.080,00	100.424,25	50.000,40	49.980,00	86.000,40	29.982,00	152.731,20	49.200,00	2.493.997,50



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GENIUS

April 2017

GENIUS Budget after amendment

PROJECT ACRONYM: GENIUS

"PROJECT FINANCIAL PLAN" - WHOLE DURATION OF PLANNED WORK

	1. UB	2. CNRS	2. TP UFC	3. UEDIN	4. UL	5. CESCA	6. INAF	7. CSIC	8. UNIGE	9. ULB	10. FFCUL	11. UBR	12. UCAM	13. KU	14. INTA
RTD FUNDING RATE	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%	75%
INDIRECT COSTS MODEL	Flat Rate	Flat Rate	Flat Rate	Simpl Method	Simpl Method	Flat rate	Flat rate	Actual	Flat rate	Flat rate	Flat rate	Flat rate	Flat rate	Flat rate	Flat rate
INDIRECT COST PERCENT.	60%	60%	60%	72%	77%	60%	60%	49%	60%	60%	60%	60%	60%	20%	175%

	1. UB	2. CNRS	2. TP UFC	3. UEDIN	4. UL	5. CESCA	6. INAF	7. CSIC	8. UNIGE	9. ULB	10. FFCUL	11. UBR	12. UCAM	13. KU	14. INTA	TOTAL
RTD ACTIVITIES	528.723,65	568.676,80	5.532,80	481.191,00	517.137,00	89.600,00	133.440,00	11.645,69	66.667,20	66.640,00	114.667,20	39.976,00	203.641,60	38.400,00	17.600,00	2.883.538,94
- PERSONNEL COSTS	305.349,28	340.423,00	3.458,00	261.265,00	260.655,00	34.000,00	73.400,00	1.670,67	41.000,00	41.000,00	61.667,00	21.623,00	115.276,00		6.031,18	1.566.818,13
- TRAVEL & SUBSISTANCE	20.290,50	15.000,00		18.320,00	31.000,00	2.000,00	5.000,00	9.154,64	667,00	650,00	10.000,00	3.362,00	9.000,00	32.000,00	1.000,00	157.444,14
- SUB-CONTRACTING	4.500,00															4.500,00
- EQUIPMENT						20.000,00	5.000,00									27.333,00
- CONSUMABLES													667,00			667,00
- OTHER SPECIFIC COSTS	2.000,00															2.000,00
- OVERHEAD COSTS	196.583,87	213.253,80	2.074,80	201.606,00	225.482,00	33.600,00	50.040,00	820,38	25.000,20	24.990,00	43.000,20	14.991,00	76.365,60	6.400,00	10.568,83	1.124.776,67
OTHER ACTIVITIES	87.847,44	23.379,20				66.600,00									20.400,00	198.226,64
- PERSONNEL COSTS	33.904,71	14.612,00				34.000,00										82.516,71
- TRAVEL & SUBSISTANCE						2.000,00										2.000,00
- SUB-CONTRACTING	8.500,00					9.000,00										17.500,00
- EQUIPMENT																
- CONSUMABLES																
- OTHER SPECIFIC COSTS	15.687,44													17.000,00		32.687,44
- OVERHEAD COSTS	29.755,29	8.767,20				21.600,00								3.400,00		63.522,49
MANAGEMENT	131.049,50				1.500,00											132.549,50
- PERSONNEL COSTS	70.030,94															70.030,94
- TRAVEL & SUBSISTANCE	10.000,00															10.000,00
- SUBCONTRACTING	3.000,00				1.500,00											4.500,00
- CONSUMABLES																
- OTHER SPECIFIC COSTS																
- OVERHEAD COSTS	48.018,56															48.018,56
TOTAL COSTS	747.620,59	592.056,00	5.532,80	481.191,00	518.637,00	156.200,00	133.440,00	11.645,69	66.667,20	66.640,00	114.667,20	39.976,00	203.641,60	58.800,00	17.600,00	3.214.315,09

PARTNERS GLOBAL FINANCIAL OVERVIEW

COST CATEGORIES	1. UB	2. CNRS	2. TP UFC	3. UEDIN	4. UL	5. CESCA	6. INAF	7. CSIC	8. UNIGE	9. ULB	10. FFCUL	11. UBR	12. UCAM	13. KU	14. INTA	TOTAL
PERSONNEL COSTS	409.285	355.035	3.458	261.265	260.655	68.000	73.400	1.671	41.000	41.000	61.667	21.623	115.276		6.031	1.719.365,78
TRAVEL & SUB	30.291	15.000		18.320	31.000	4.000	5.000	9.155	667	650	10.000	3.362	9.000	32.000	1.000	169.444,14
SUBCONTRACTING	16.000				1.500	9.000										26.500,00
EQUIPMENT						20.000	5.000									27.333,00
CONSUMABLES													667			667,00
OTHER SP.COSTS	17.687													17.000		34.687,44
OVERHEAD COSTS	274.358	222.021	2.075	201.606	225.482	55.200	50.040	820	25.000	24.990	43.000	14.991	76.366	9.800	10.569	1.236.317,73
TOTAL COSTS	747.620,59	592.056,00	5.532,80	481.191,00	518.637,00	156.200,00	133.440,00	11.645,69	66.667,20	66.640,00	114.667,20	39.976,00	203.641,60	58.800,00	17.600,00	3.214.315,09
Requested Grant	615.439,68	449.886,80	4.149,60	360.893,25	389.352,75	133.800,00	100.080,00	8.734,27	50.000,40	49.980,00	86.000,40	29.982,00	152.731,20	49.200,00	13.200,00	2.493.430,35

GENIUS Payments

Project **GENIUS** Funding Scheme **Collaborative Project** Contract Duration **01/10/2013** **31/03/2017**

Participant Number	TOTAL	(1)	(2)	(4)	(5)	(6)	(7)	(9)	TOTAL PAID	YET TO BE PAID		
		Requested EC Contribution	5% Guarantee over EC Requested	EC PRE - FINANCING (53,333333% EC Contrib - 5% EC Guarantee)	PRE - FINANCING (53,33333% EC Contrib - 5% EC Guarantee) Months 1- 18	Accepted EC Contribution 1st REPORT	1st Payment (After submission & Approve 1st reporting period) Up to 85% of total EC Contribution	Accepted EC Contribution 1st REPORT			2nd Payment (After submission & Approve 2nd reporting period) Up to 85% of total EC Contribution	FINAL PAYMENT (10% + 5% Guarantee)
	3.196.715,08	2.493.430,35	124.011,52	1.205.818,00	1.205.173,78	458.955,86	431.189,64	750.107,26	455.313,91	2.091.677,33	401.753,02	
1	1.UB	747.620,59	615.439,68	30.771,98	297.462,51	273.719,81	103.470,09	103.470,09	134.595,65	134.595,65	511.785,55	103.654,13
2	2. CNRS	592.056,00	449.886,80	22.494,34	217.445,29	217.130,93	119.998,47	119.998,47	188.598,44	48.801,54	385.930,94	68.105,46
2TP	2. TP - UFC	5.532,80	4.149,60	207,48	2.005,64							
3	3. UEDIN	481.191,00	360.893,25	18.044,66	174.431,74	174.431,62	61.478,56	61.478,56	91.823,63	70.849,09	306.759,26	54.133,99
4	4. UL	518.637,00	389.352,75	19.467,64	188.187,16	188.187,16	41.640,90	41.640,90	148.235,33	101.121,78	330.949,84	58.402,91
5	5. CESCO	156.200,00	133.800,00	6.690,00	64.670,00	53.070,00	24.586,25	24.586,25	26.724,73	26.724,73	104.380,98	29.419,02
6	6. INAF	133.440,00	100.080,00	5.004,00	48.372,00	48.372,00	30.895,36	30.895,36	33.460,80	5.800,64	85.068,00	15.012,00
7	7. CSIC	11.645,69	8.734,27	436,71	4.221,56	48.538,27	1.868,29	1.868,29	6.865,98	-41.672,29	8.734,27	0,00
8	8. UNIGE	66.667,20	50.000,40	2.500,02	24.166,86	24.166,67			50.000,40	18.333,67	42.500,34	7.500,06
9	9. ULB	66.640,00	49.980,00	2.499,00	24.157,00	24.157,00	34.447,60	18.326,00	13.146,59		42.483,00	7.497,00
10	10. FFCUL	114.667,20	86.000,40	4.300,02	41.566,86	41.566,67	8.980,76	8.980,76	20.624,93	20.624,93	71.172,36	14.828,04
11	11. UBR	39.976,00	29.982,00	1.499,10	14.491,30	14.491,30	22.638,02	10.993,40	760,08		25.484,70	4.497,30
12	12. UCAM	203.641,60	152.731,20	7.636,56	73.820,08	73.562,37	2.710,70	2.710,70	18.895,44	18.895,44	95.168,51	57.562,69
13	13. KU	58.800,00	49.200,00	2.460,00	23.780,00	23.780,00	6.240,86	6.240,86	9.736,88	9.736,88	39.757,74	9.442,26
14	14. INTA	17.600,00	13.200,00	660,00	6.380,00				6.638,38	6.638,38	6.638,38	6.561,62
Payments ready done to the Consortium					1.205.173,78	458.955,86	431.189,64	750.107,26	420.450,44		2.056.813,86	
Coordinator keeps as a Project Guarantee (EC Payments -€ Transferred)					644,22		27.766,22		34.863,47			

GENIUS 1st period Report

	1st Period Report			01/01/2013	30/06/2014	
	RTD	Management	Other	Total reported	Accepted Costs	Accepted Contrib. EC
1. UB	81.999,47	23.741,56	18.280,53	124.021,56	123.969,96	103.470,09
2. CNRS	155.468,85			155.468,85	155.468,85	116.601,64
2. TP - UFC	4.529,10	26.812,27		31.341,37	4.529,10	3.396,83
3. UEDIN	81.971,41			81.971,41	81.971,41	61.478,56
4. UL	55.521,20			55.521,20	55.521,20	41.640,90
5. CESCA	13.929,04		14.139,47	28.068,51	28.068,51	24.586,25
6. INAF	41.193,81			41.193,81	41.193,81	30.895,36
7. CSIC	2.491,05			2.491,05	2.491,05	1.868,29
8. UNIGE						
9. ULB	45.930,13			45.930,13	45.930,13	34.447,60
10. FFCUL	11.974,34			11.974,34	11.974,34	8.980,76
11. UBR	30.184,03			30.184,03	30.184,03	22.638,02
12. UCAM	3.659,94			3.659,94	3.614,26	2.710,70
13. KU	8.321,14			8.321,14	8.321,14	6.240,86
	537.173,51	50.553,83	32.420,00		593.237,79	458.955,86



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GENIUS

April 2017

GENIUS 2nd period Report

	2nd Period Report			01/07/2014	31/12/2015	
	RTD	Management	Other	Total reported	Accepted Costs	Accepted Contrib. EC
1. UB	101.507,68	32.287,55	19.360,22	153.155,45	153.155,45	127.778,53
2. CNRS	251.464,58			251.464,58	251.464,58	188.598,44
2. TP - UFC						
3. UEDIN	122.431,51			122.431,51	122.431,51	91.823,63
4. UL	198.568,28			198.568,28	198.568,28	148.926,21
5. CESCA	13.025,25		16.955,79	29.981,04	29.981,04	26.724,73
6. INAF	45.027,71			45.027,71	45.027,71	33.770,78
7. CSIC	9.154,64			9.154,64	9.154,64	6.865,98
8. UNIGE	72.193,58			72.193,58	72.193,58	50.000,40
9. ULB	17.528,78			17.528,78	17.528,78	13.146,59
10. FFCUL	27.499,90			27.499,90	27.499,90	20.624,93
11. UBR	1.013,44			1.013,44	1.013,44	760,08
12. UCAM	25.193,92			25.193,92	25.193,92	18.895,44
13. KU	12.982,50			12.982,50	12.982,50	9.736,88
14. INTA	8.851,17			8.851,17	8.851,17	6.638,38
	906.442,94	32.287,55	36.316,01	975.046,50	975.046,50	744.290,98



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GENIUS

April 2017

GENIUS Execution of the project

After 2nd period report

	Budget	TOTAL	Requested EC Contribution	Accepted P1 & P2	Execution of the project
1	1. UB	747.620,59	615.439,68	238.065,74	38%
2	2. CNRS	592.056,00	449.886,80	305.200,08	68%
	2. TP - UFC	5.532,80	4.149,60	3.396,83	82%
3	3. UEDIN	481.191,00	360.893,25	153.302,19	42%
4	4. UL	518.637,00	389.352,75	189.876,23	49%
5	5. CESCA	156.200,00	133.800,00	51.310,98	38%
6	6. INAF	133.440,00	100.080,00	64.356,16	65%
7	7. CSIC	11.645,69	8.734,27	8.734,27	100%
8	8. UNIGE	66.667,20	50.000,40	50.000,40	100%
9	9. ULB	66.640,00	49.980,00	47.594,19	95%
10	10. FFCUL	114.667,20	86.000,40	29.605,69	34%
11	11. UBR	39.976,00	29.982,00	23.398,10	78%
12	12. UCAM	203.641,60	152.731,20	21.606,14	14%
13	13. KU	58.800,00	49.200,00	15.977,74	32%
14	14. INTA	17.600,00	13.200,00	6.638,38	50%
		3.214.315,09	2.493.430,35	1.209.063,10	48%



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GENIUS

April 2017

GENIUS 3rd period Report

Preliminary Draft Cost for the Final Meeting

Reporting Period		01/10/2015 - 31/03/2017								
PARTICIPANT	Personnel costs	Travel costs	Equipment costs	Consumables costs	Others	Audits costs	Subcontracting costs	Overheads costs	Adjustments	TOTAL COSTS
1. UB	262.795,70	17.955,40	0,00	0,00	7.505,95	5.466,00	0,00	172.954,23	0,00	466.677,28
2. CNRS								0,00	0,00	0,00
3. UEDIN	114.431,50	7.447,63	1.054,11	0,00	0,00	0,00	0,00	84.021,23	0,00	206.954,47
4. UL	135.040,48	0,00	0,00	0,00	8.224,43	0,00	0,00	94.568,55	0,00	237.833,46
5. CSUC	32.189,48	0,00	13.800,00	0,00	0,00	0,00	0,00	27.593,69	10.871,12	84.454,29
6. INAF	48.262,79	1.226,00	2.592,46	0,00	0,00	0,00	0,00	31.248,75	0,00	83.330,00
8. UNIGE	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00
9. ULB	1.597,37	397,20	0,00	0,00	0,00	0,00	0,00	1.196,74	0,00	3.191,31
10. FFCUL	35.272,04	7.493,60	0,00	0,00	0,00	0,00	0,00	25.659,38	0,00	68.425,02
11. UBR	3.022,02	0,00	0,00	0,00	0,00	0,00	0,00	604,04	0,00	3.626,06
12. UCAM	109.234,73	3.968,80	0,00	70,74	0,00	0,00	0,00	67.964,56	0,00	181.238,83
13. KU	0,00	9.756,34	0,00	0,00	8.418,29	0,00	0,00	3.634,93	0,00	21.809,56
14. INTA	7.451,68	1.365,74	0,00	0,00	0,00	0,00	0,00	9.398,24	-3.097,28	15.118,38
749.297,79		49.610,71	17.446,57	70,74	24.148,67	5.466,00	0,00	518.844,34	7.773,84	1.372.658,66



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GENIUS

April 2017

GENIUS 3rd period Report

Financial Summary Cost Justified (not Requested)

Budget	TOTAL	Accepted Cost EC 1st Period	Accepted Cost EC 2nd Period	DRAFT Cost EC 3rd Period	Σ Amount Justified	Budgetary Deviations
1. UB	747.620,59	123.969,96	153.155,45	466.677,28	743.802,69	3.817,90
2. CNRS	592.056,00	155.468,85	251.464,58		406.933,43	185.122,57
2. TP - UFC	5.532,80	4.529,10			4.529,10	1.003,70
3. UEDIN	481.191,00	81.971,41	122.431,51	206.954,47	411.357,39	69.833,61
4. UL	518.637,00	55.521,20	198.568,28	237.833,46	491.922,94	26.714,06
5. CESCA	156.200,00	28.068,51	29.981,04	84.454,29	142.503,84	13.696,16
6. INAF	133.440,00	41.193,81	45.027,71	83.330,00	169.551,52	-36.111,52
7. CSIC	11.645,69	2.491,05	9.154,64		11.645,69	0,00
8. UNIGE	66.667,20	0,00	72.193,58	0,00	72.193,58	-5.526,38
9. ULB	66.640,00	45.930,13	17.528,78	3.191,31	66.650,22	-10,22
10. FFCUL	114.667,20	11.974,34	27.499,90	68.425,02	107.899,26	6.767,94
11. UBR	39.976,00	30.184,03	1.013,44	3.626,06	34.823,53	5.152,47
12. UCAM	203.641,60	3.614,26	25.193,92	181.238,83	210.047,01	-6.405,41
13. KU	58.800,00	8.321,14	12.982,50	21.809,56	43.113,20	15.686,80
14. INTA	17.600,00		8.851,17	15.118,38	23.969,55	-6.369,55
	3.214.315,09	593.237,79	975.046,50	1.372.658,66	2.940.942,95	273.372,13



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GENIUS Last steps

- On May 1st 2017 a new reporting module will be opened on the Participant Portal
- We are entitled to submit 3rd periodic report within a period of 60 days, no later than May 31st 2017
- UB, CNRS and UL should submit a Certificate on Financial Statements (CFS) attached to their Financial Report according to their expected expenses

Many thanks

Xavier Gutierrez Muñoz

Head of Management Unit Oficina de Projectes Internacionals de Recerca (OPIR)

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